

Dynamical description of heavy-ion collisions

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COST Workshop on Interplay of hard and soft QCD probes for collectivity in heavy-ion collisions 25 February - 1 March 2019, Lund university, Sweden



The ,holy grail' of heavy-ion physics:



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Theory: Information from lattice QCD



□ Scalar quark condensate $\langle q \overline{q} \rangle$ is viewed as an order parameter for the restoration of chiral symmetry: $\langle \overline{q}q \rangle = \begin{cases} \neq 0 & \text{chiral non-symmetric phase;} \\ = 0 & \text{chiral symmetric phase.} \end{cases}$

 \rightarrow both transitions occur at about the same temperature T_c for low chemical potentials



Degrees-of-freedom of QGP



pQCD:

- weakly interacting system
- massless quarks and gluons

How to learn about degrees-offreedom of QGP?



- Thermal QCD = QCD at high parton densities:
- strongly interacting system
- massive quarks and gluons
- ➔ quasiparticles
- effective degrees-of-freedom

Theory ←→ HIC experiments



Statistical models:

basic assumption: system is described by a (grand) canonical ensemble of non-interacting fermions and bosons in thermal and chemical equilibrium = thermal hadron gas at freeze-out with common T and μ_B

[-: no dynamical information]

• <u>Hydrodynamical models:</u>

basic assumption: conservation laws + equation of state (EoS);

assumption of local thermal and chemical equilibrium

- Interactions are ,hidden' in properties of the fluid described by transport coefficients (shear and bulk viscosity η , ζ , ..), which is 'input' for the hydro models

[-: simplified dynamics]

• Microscopic transport models:

based on transport theory of relativistic quantum many-body systems

- Explicitly account for the interactions of all degrees of freedom (hadrons and partons) in terms of cross sections and potentials
- Provide a unique dynamical description of strongly interaction matter in- and out-off equilibrium:
- In-equilibrium: transport coefficients are calculated in a box controled by IQCD
- Nonequilibrium dynamics controled by HIC

Actual solutions: Monte Carlo simulations

History: Semi-classical BUU equation

Boltzmann-Uehling-Uhlenbeck equation (non-relativistic formulation) - propagation of particles in the self-generated Hartree-Fock mean-field potential U(r,t) with an on-shell collision term:

$$\frac{\partial}{\partial t}f(\vec{r},\vec{p},t) + \frac{\vec{p}}{m}\vec{\nabla}_{\vec{r}} f(\vec{r},\vec{p},t) - \vec{\nabla}_{\vec{r}}U(\vec{r},t)\vec{\nabla}_{\vec{p}}f(\vec{r},\vec{p},t) = \left(\frac{\partial f}{\partial t}\right)_{coll}$$

collision term: elastic and inelastic reactions

 $f(\vec{r}, \vec{p}, t)$ is the single particle phase-space distribution function - probability to find the particle at position *r* with momentum *p* at time *t*

□ self-generated Hartree-Fock mean-field potential:

$$U(\vec{r},t) = \frac{1}{(2\pi\hbar)^3} \sum_{\beta_{occ}} \int d^3r' \ d^3p \ V(\vec{r}-\vec{r}',t) \ f(\vec{r}',\vec{p},t) + (Fock \ term)$$

□ Collision term for 1+2→3+4 (let's consider fermions) :

$$I_{coll} = \frac{4}{(2\pi)^3} \int d^3 p_2 \, d^3 p_3 \, \int d\Omega \, |v_{12}| \, \delta^3 (\vec{p}_1 + \vec{p}_2 - \vec{p}_3 - \vec{p}_4) \cdot \frac{d\sigma}{d\Omega} (1 + 2 \to 3 + 4) \cdot P$$

Probability including Pauli blocking of fermions: $P = f_3 f_4 (1 - f_1)(1 - f_2) - f_1 f_2 (1 - f_3)(1 - f_4)$ Gain term: 3+4 \rightarrow 1+2
Loss term: 1+2 \rightarrow 3+4





Elementary hadronic interactions

Consider all possible interactions – eleastic and inelastic collisions - for the sytem of (*N*,*R*,*m*), where *N*-nucleons, *R*- resonances, *m*-mesons, and resonance decays

Low energy collisions:

- binary 2←→2 and
 2←→3(4) reactions
- 1←→2 : formation and decay of baryonic and mesonic resonances

 $BB \leftarrow \rightarrow B'B'$ $BB \leftarrow \rightarrow B'B'm$ $mB \leftarrow \rightarrow m'B'$ $mB \leftarrow \rightarrow B'$ $mm \leftarrow \rightarrow m'm'$ $mm \leftarrow \rightarrow m'$

Baryons: $B = p, n, \Delta(1232),$ N(1440), N(1535), ...Mesons: $M = \pi, \eta, \rho, \omega, \phi, ...$



High energy collisions: (above $s^{1/2} \sim 2.5 \text{ GeV}$) Inclusive particle production: $BB \rightarrow X$, $mB \rightarrow X$, $mm \rightarrow X$ X = many particlesdescribed by string formation and decay (string = excited color singlet states q-qq, q-qbar) using LUND string model



From weakly to strongly interacting systems

In-medium effects (on hadronic or partonic levels!) = changes of particle properties in the hot and dense medium Example: hadronic medium - vector mesons, strange mesons QGP – dressing of partons

Many-body theory: Strong interaction → large width = short life-time

➔ broad spectral function ➔ quantum object

• How to describe the dynamics of broad strongly interacting quantum states in transport theory?

semi-classical BUU

first order gradient expansion of quantum Kadanoff-Baym equations

generalized transport equations based on Kadanoff-Baym dynamics



Dynamical description of strongly interacting systems

Semi-classical on-shell BUU: applies for small collisional width, i.e. for a weakly interacting systems of particles

How to describe strongly interacting systems?!

❑ Quantum field theory →

Kadanoff-Baym dynamics for resummed single-particle Green functions S[<]

$$\hat{S}_{0x}^{-1} S_{xy}^{<} = \Sigma_{xz}^{ret} \odot S_{zy}^{<} + \Sigma_{xz}^{<} \odot S_{zy}^{adv}$$

Green functions S[<] / self-energies Σ :

Integration over the intermediate spacetime

 $iS_{xy}^{<} = \eta \langle \{ \Phi^{+}(y)\Phi(x) \} \rangle$ $iS_{xy}^{>} = \langle \{ \Phi(y)\Phi^{+}(x) \} \rangle$ $iS_{xy}^{c} = \langle T^{c} \{ \Phi(x)\Phi^{+}(y) \} \rangle - causal$ $iS_{xy}^{a} = \langle T^{a} \{ \Phi(x)\Phi^{+}(y) \} \rangle - anticausal$



Leo Kadanoff









After the first order gradient expansion of the Wigner transformed Kadanoff-Baym equations and separation into the real and imaginary parts one gets:

 $\begin{array}{c|c} \hline \textbf{Generalized transport equations (GTE):} \\ \hline \textbf{drift term} & \textbf{Vlasov term} \\ \diamondsuit \{P^2 \ - \ M_0^2 \ - \ Re\Sigma_{XP}^{ret}\} \{S_{XP}^{<}\} \ - \ \diamondsuit \{\Sigma_{XP}^{<}\} \{ReS_{XP}^{ret}\} \\ \Leftrightarrow \{\Sigma_{XP}^{<}\} \{ReS_{XP}^{ret}\} \\ = \ \frac{i}{2} \left[\Sigma_{XP}^{>} S_{XP}^{<} \ - \ \Sigma_{XP}^{<} S_{XP}^{>}\right] \end{array}$

<u>Backflow term</u> incorporates the off-shell behavior in the particle propagation ! vanishes in the quasiparticle limit $A_{XP} \rightarrow \delta(p^2-M^2)$

□ GTE: Propagation of the Green's function $iS^{<}_{XP}=A_{XP}N_{XP}$, which carries information not only on the number of particles (N_{XP}) , but also on their properties, interactions and correlations (via A_{XP})

Spectral function:
$$A_{XP} = \frac{\Gamma_{XP}}{(P^2 - M_0^2 - Re\Sigma_{XP}^{ret})^2 + \Gamma_{XP}^2/4}$$

 $\Gamma_{XP} = -Im \Sigma_{XP}^{ret} = 2 p_{\theta} \Gamma$ – ,width' of spectral function = reaction rate of particle (at space-time position X) 4-dimentional generalizaton of the Poisson-bracket:

 $\diamond \{ F_1 \} \{ F_2 \} := \frac{1}{2} \left(\frac{\partial F_1}{\partial X_{\mu}} \frac{\partial F_2}{\partial P^{\mu}} - \frac{\partial F_1}{\partial P_{\mu}} \frac{\partial F_2}{\partial X^{\mu}} \right)$

Life time $\tau = \frac{hc}{\Gamma}$

W. Cassing , S. Juchem, NPA 665 (2000) 377; 672 (2000) 417; 677 (2000) 445

General testparticle off-shell equations of motion

W. Cassing , S. Juchem, NPA 665 (2000) 377; 672 (2000) 417; 677 (2000) 445

□ Employ testparticle Ansatz for the real valued quantity *i* S[<]_{XP}

$$F_{XP} = A_{XP}N_{XP} = i S_{XP}^{<} \sim \sum_{i=1}^{N} \delta^{(3)}(\vec{X} - \vec{X}_{i}(t)) \ \delta^{(3)}(\vec{P} - \vec{P}_{i}(t)) \ \delta(P_{0} - \epsilon_{i}(t))$$

insert in generalized transport equations and determine equations of motion !

General testparticle Cassing's off-shell equations of motion for the time-like particles:

$$\begin{split} \frac{d\vec{X}_{i}}{dt} &= \frac{1}{1-C_{(i)}} \frac{1}{2\epsilon_{i}} \left[2\vec{P}_{i} + \vec{\nabla}_{P_{i}} Re\Sigma_{(i)}^{ret} + \underbrace{\frac{\epsilon_{i}^{2} - \vec{P}_{i}^{2} - M_{0}^{2} - Re\Sigma_{(i)}^{ret}}{\Gamma_{(i)}} \vec{\nabla}_{P_{i}} \Gamma_{(i)} \right], \\ \frac{d\vec{P}_{i}}{dt} &= -\frac{1}{1-C_{(i)}} \frac{1}{2\epsilon_{i}} \left[\vec{\nabla}_{X_{i}} Re\Sigma_{i}^{ret} + \underbrace{\frac{\epsilon_{i}^{2} - \vec{P}_{i}^{2} - M_{0}^{2} - Re\Sigma_{(i)}^{ret}}{\Gamma_{(i)}} \vec{\nabla}_{X_{i}} \Gamma_{(i)} \right], \\ \frac{d\epsilon_{i}}{dt} &= \frac{1}{1-C_{(i)}} \frac{1}{2\epsilon_{i}} \left[\frac{\partial Re\Sigma_{(i)}^{ret}}{\partial t} + \underbrace{\frac{\epsilon_{i}^{2} - \vec{P}_{i}^{2} - M_{0}^{2} - Re\Sigma_{(i)}^{ret}}{\Gamma_{(i)}} \frac{\partial \Gamma_{(i)}}{\partial t} \right], \\ \\ \text{with} \quad F_{(i)} &\equiv F(t, \vec{X}_{i}(t), \vec{P}_{i}(t), \epsilon_{i}(t)) \\ C_{(i)} &= \frac{1}{2\epsilon_{i}} \left[\frac{\partial}{\partial\epsilon_{i}} Re\Sigma_{(i)}^{ret} + \underbrace{\frac{\epsilon_{i}^{2} - \vec{P}_{i}^{2} - M_{0}^{2} - Re\Sigma_{(i)}^{ret}}{\Gamma_{(i)}} \frac{\partial \Gamma_{(i)}}{\partial\epsilon} \right], \end{split}$$



Collision term for reaction 1+2->3+4:

$$\begin{split} \underline{I_{coll}(X,\vec{P},M^2)} &= Tr_2 Tr_3 Tr_4 \underline{A(X,\vec{P},M^2)} A(X,\vec{P}_2,M_2^2) A(X,\vec{P}_3,M_3^2) A(X,\vec{P}_4,M_4^2) \\ & |\underline{G((\vec{P},M^2) + (\vec{P}_2,M_2^2) \rightarrow (\vec{P}_3,M_3^2) + (\vec{P}_4,M_4^2))|_{\mathcal{A},\mathcal{S}}^2} \delta^{(4)}(P + P_2 - P_3 - P_4) \\ & [N_{X\vec{P}_3M_3^2} N_{X\vec{P}_4M_4^2} \bar{f}_{X\vec{P}M^2} \bar{f}_{X\vec{P}_2M_2^2} - N_{X\vec{P}M^2} N_{X\vec{P}_2M_2^2} \bar{f}_{X\vec{P}_3M_3^2} \bar{f}_{X\vec{P}_4M_4^2}] \\ & \text{,gain' term} \\ \end{split}$$

with $\bar{f}_{X\vec{P}M^2} = 1 + \eta N_{X\vec{P}M^2}$ and $\eta = \pm 1$ for bosons/fermions, respectively.

The trace over particles 2,3,4 reads explicitly

for fermions $Tr_{2} = \sum_{\sigma_{2},\tau_{2}} \frac{1}{(2\pi)^{4}} \int d^{3}P_{2} \underbrace{\frac{dM_{2}^{2}}{\sqrt{\vec{P}_{2}^{2} + M_{2}^{2}}}}_{\text{additional integration}} Tr_{2} = \sum_{\sigma_{2},\tau_{2}} \frac{1}{(2\pi)^{4}} \int d^{3}P_{2} \underbrace{\frac{dP_{0,2}^{2}}{2}}_{2}$

The transport approach and the particle spectral functions are fully determined once the in-medium transition amplitudes G are known in their off-shell dependence!

Goal: microscopic transport description of the partonic and hadronic phase



□ How to model a QGP phase in line with IQCD data?

How to solve the hadronization problem?

<u>Ways to go:</u>

pQCD based models:

Problems:

QGP phase: pQCD cascade

hadronization: quark coalescence

→ AMPT, HIJING, BAMPS

,Hybrid' models:

QGP phase: hydro with QGP EoS

hadronic freeze-out: after burner hadron-string transport model

→ Hybrid-UrQMD

microscopic transport description of the partonic and hadronic phase in terms of strongly interacting dynamical quasi-particles and off-shell hadrons

→ PHSD

From SIS to LHC: from hadrons to partons



The goal: to study of the phase transition from hadronic to partonic matter and properties of the Quark-Gluon-Plasma from a microscopic origin

need a consistent non-equilibrium transport model

with explicit parton-parton interactions (i.e. between quarks and gluons)
 explicit phase transition from hadronic to partonic degrees of freedom
 IQCD EoS for partonic phase (,crossover' at small μ_q)

□ Transport theory: off-shell Kadanoff-Baym equations for the Green-functions S[<]_h(x,p) in phase-space representation for the partonic and hadronic phase





QGP phase described by Dynamical QuasiParticle Model (DQPM)

W. Cassing, E. Bratkovskaya, PRC 78 (2008) 034919; NPA831 (2009) 215; W. Cassing, EPJ ST 168 (2009) 3

> A. Peshier, W. Cassing, PRL 94 (2005) 172301; Cassing, NPA 791 (2007) 365: NPA 793 (2007)



DQPM describes **QCD** properties in terms of ,resummed' single-particle Green's functions (propagators) – in the sense of a two-particle irreducible (2PI) approach:

gluon propagator: $\Delta^{-1} = P^2 - \Pi$ & quark propagator $S_a^{-1} = P^2 - \Sigma_a$

gluon self-energy: $\Pi = M_g^2 - i2\gamma_g \omega$ & quark self-energy: $\Sigma_q = M_q^2 - i2\gamma_q \omega$

(scalar approximation)

- the resummed properties are specified by complex (retarded) self-energies:
- the real part of self-energies (Σ_{α} , Π) describes a dynamically generated mass (M_{α} , M_{α});
- the imaginary part describes the interaction width of partons (γ_q , γ_q)
- Spectral functions : $A_a \sim ImS_a^{ret}$, $A_{o} \sim Im\Delta^{ret}$
- **Entropy density of interacting bosons and fermions in the quasiparticle limit (2PI)** (G. Baym 1998):

$$s^{dqp} = -d_g \int \frac{d\omega}{2\pi} \frac{d^3p}{(2\pi)^3} \frac{\partial n_B}{\partial T} (\operatorname{Im}\ln(-\Delta^{-1}) + \operatorname{Im}\Pi\operatorname{Re}\Delta) \qquad \text{gluons}$$
$$-d_q \int \frac{d\omega}{2\pi} \frac{d^3p}{(2\pi)^3} \frac{\partial n_F((\omega - \mu_q)/T)}{\partial T} (\operatorname{Im}\ln(-S_q^{-1}) + \operatorname{Im}\Sigma_q\operatorname{Re}S_q) \quad \text{quarks}$$
$$-d_{\bar{q}} \int \frac{d\omega}{2\pi} \frac{d^3p}{(2\pi)^3} \frac{\partial n_F((\omega + \mu_q)/T)}{\partial T} (\operatorname{Im}\ln(-S_{\bar{q}}^{-1}) + \operatorname{Im}\Sigma_{\bar{q}}\operatorname{Re}S_{\bar{q}}) \quad \text{antiquarks}$$

A. Peshier, W. Cassing, PRL 94 (2005) 172301; Cassing, NPA 791 (2007) 365: NPA 793 (2007)



<u>Properties</u> of interacting quasi-particles: massive quarks and gluons (g, q, q_{bar}) with Lorentzian spectral functions :

$$egin{aligned} A(\omega,oldsymbol{p}) &= rac{\gamma}{E} \left(rac{1}{(\omega-E)^2+\gamma^2} - rac{1}{(\omega+E)^2+\gamma^2}
ight) \ E^2 &= p^2 + M^2 - \gamma^2 \end{aligned}$$

Modeling of the quark/gluon masses and widths \rightarrow HTL limit at high T



Cassing, NPA 791 (2007) 365: NPA 793 (2007)



DQPM at finite T and μ_{α}



Peshier, Cassing, PRL 94 (2005) 172301; Cassing, NPA 791 (2007) 365: NPA 793 (2007)



Parton-Hadron-String-Dynamics (PHSD)

□ Initial A+A collisions : N+N → string formation → decay to pre-hadrons

 □ Formation of QGP stage if ε > ε_{critical} : dissolution of pre-hadrons → (DQPM) →
 → massive quarks/gluons + mean-field potential U_q

Partonic stage – QGP : based on the Dynamical Quasi-Particle Model (DQPM)

• (quasi-) elastic collisions: $q+q \rightarrow q+q$ $g+q \rightarrow g+q$ q $q+\overline{q} \rightarrow q+\overline{q}$ $g+\overline{q} \rightarrow g+\overline{q}$ q $\overline{q}+\overline{q} \rightarrow \overline{q}+\overline{q}$ $g+g \rightarrow g+g$

• inelastic collisions: $q + \overline{q} \rightarrow g$ $q + \overline{q} \rightarrow g + g$ $g \rightarrow q + \overline{q}$ $g \rightarrow g + g$



LUND string mod



■ Hadronization (based on DQPM): $g \rightarrow q + \overline{q}, \quad q + \overline{q} \leftrightarrow meson \ (or 'string')$

 $q + q + q \leftrightarrow baryon \ (or 'string ')$



□ Hadronic phase: hadron-hadron interactions – off-shell HSD

W. Cassing, E. Bratkovskaya, PRC 78 (2008) 034919; NPA831 (2009) 215; W. Cassing, EPJ ST 168 (2009) 3 18

QGP in equilibrium: Transport properties at finite (T, μ_q): η/s



Shear viscosity η /s at finite T

PHSD: V. Ozvenchuk et al., PRC 87 (2013) 064903

Hydro: Bayesian analysis, S. Bass et al. ,1704.07671



QGP in PHSD = stronglyinteracting liquid-like system

η/s: $μ_q$ =0 → finite $μ_q$: smooth increase as a function of (T, $μ_q$)

Review: H. Berrehrah et al. Int.J.Mod.Phys. E25 (2016) 1642003 19

Traces of the QGP in observables in high energy heavy-ion collisions





http://theory.gsi.de/~ebratkov/phsd-project/PHSD/index1.html





t = 3.91921 fm/c



Au + Au $\sqrt{s_{NN}} = 200 \text{ GeV}$ b = 2.2 fm - Section view

















Time evolution of the partonic energy fraction vs energy



□ Strong increase of partonic phase with energy from AGS to RHIC

SPS: Pb+Pb, 160 A GeV: only about 40% of the converted energy goes to partons; the rest is contained in the large hadronic corona and leading partons
 RHIC: Au+Au, 21.3 A TeV: up to 90% - QGP

W. Cassing & E. Bratkovskaya, NPA 831 (2009) 215 V. Konchakovski et al., Phys. Rev. C 85 (2012) 011902





Central Pb + Pb at SPS energies

Central Au+Au at RHIC



■ PHSD gives harder m_T spectra and works better than HSD (wo QGP) at high energies

– RHIC, SPS (and top FAIR, NICA)

□ however, at low SPS (and low FAIR, NICA) energies the effect of the partonic phase decreases due to the decrease of the partonic fraction

W. Cassing & E. Bratkovskaya, NPA 831 (2009) 215 E. Bratkovskaya, W. Cassing, V. Konchakovski, O. Linnyk, NPA856 (2011) 162

Elliptic flow v₂ vs. collision energy for Au+Au



PIS

$$\frac{dN}{d\varphi} \propto \left(1 + 2\sum_{n=1}^{+\infty} v_n \cos\left[n(\varphi - \psi_n)\right]\right)$$
$$v_n = \left\langle\cos n(\varphi - \psi_n)\right\rangle, \quad n = 1, 2, 3...,$$



• v_2 in PHSD is larger than in HSD due to the repulsive scalar mean-field potential $U_s(\rho)$ for partons

v₂ grows with bombarding energy due to the increase of the parton fraction

V. Konchakovski, E. Bratkovskaya, W. Cassing, V. Toneev, V. Voronyuk, Phys. Rev. C 85 (2012) 011902

X

V_n (n=2,3,4,5) of charged particles from PHSD at LHC



v₂ increases with decreasing centrality

PRL 107 (2011) 032301 lines – PHSD (e-by-e)

v_n (n=3,4,5) show weak centrality dependence

 v_n (n=3,4,5) develops by interaction in the QGP and in the final hadronic phase

V. Konchakovski, W. Cassing, V. Toneev, J. Phys. G: Nucl. Part. Phys 42 (2015) 055106





PHSD: even when considering the creation of a QGP phase, the K⁺/ π ⁺ ,horn⁺ seen experimentally by NA49 and STAR at a bombarding energy ~30 A GeV (FAIR/NICA energies!) remains unexplained !

➔ The origin of 'horn' is not traced back to deconfinement ?!



Can it be related to chiral symmetry restoration in the hadronic phase?!

Chiral symmetry restoration vs. deconfinement



□ Chiral symmetry restoration via Schwinger mechanism (and non-linear $\sigma - \omega$ model) changes the "flavour chemistry" in string fragmentation (1PI): $\langle q \overline{q} \rangle / \langle q \overline{q} \rangle_V \rightarrow 0 \rightarrow m_s^* \rightarrow m_s^0 \rightarrow s/u \text{ grows}$

→ the strangeness production probability increases with the local energy density ε (up to ε_c) due to the partial chiral symmetry restoration!

Excitation function of hadron ratios and yields





20 A+A 0-5% central |y|<0.5 $\Lambda + \Sigma^0$ yield ($\Lambda + \Sigma^0$) a) w/o CSR ····· NL3 5 NL1 AGS (E895-E896) SPS (NA49) A+A 0-5% central |v|<0.5 b) yield (Ξ) 1.5 0.5 SPS (NA49) 8 10 12 14 16 18 20 2 √S_{NN} [GeV]

- □ Influence of EoS: NL1 vs NL3 → low sensitivity to the nuclear EoS
- □ Excitation function of the hyperons $\Lambda + \Sigma^0$ and Ξ^- show analogous peaks as K⁺/ π^+ , ($\Lambda + \Sigma^0$)/ π ratios due to CSR

Chiral symmetry restoration leads to the **enhancement of strangeness production** in string fragmentation in the beginning of HIC in the hadronic phase

A. Palmese et al., PRC94 (2016) 044912 , arXiv:1607.04073



Microscopic transport approach PHSD versus experimental observables:

evidence for strong partonic interactions in the early phase of relativistic heavy-ion reactions

□ indication for a partial chiral symmetry restoration





http://theory.gsi.de/~ebratkov/phsd-project/PHSD/index1.html

Thanks to:



UNIVERSITÄT FRANKFURT AM MAIN



HGS-HIRe for FAIR Helmholtz Graduate School for Hadron and Ion Research

EUROPEAN COOPERATION



Bundesministerium für Bildung und Forschung



FG Deutsche Forschungsgemeinschaft

PHSD group - 2019

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Giessen University Wolfgang Cassing Taesoo Song

Thanks to Olena Linnyk Volodya Konchakovski Hamza Berrehrah Thorsten Steinert Alessia Palmese Eduard Seifert

External Collaborations

SUBATECH, Nantes University: Jörg Aichelin Christoph Hartnack Pol-Bernard Gossiaux Marlene Nahrgang

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Valencia University: Daniel Cabrera

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> Duke University: Steffen Bass











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Thank you for your attention !

Thanks to the Organizers !

