Statistical thermal model

a.k.a. statistical hadronization model (SHM) or hadron resonance gas (HRG)

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Relativistic heavy-ion collisions



Event display of a Pb-Pb collision in ALICE at LHC

Thousands of particles created in relativistic heavy-ion collisions

Apply concepts of statistical mechanics to describe particle production 2/30

Historical perspective

- **1951-1953:** Early applications of statistical concepts to particle production [Fermi; Landau; Pomeranchuk]
- **1965-1975:** Hagedorn's model (statistical bootstrap), applications to high-energy collisions $\rho(m) = A m^{-\alpha} \exp(m/T_H)$
 - **1969:** S-matrix formulation of statistical mechanics, the basis of the thermal model Dashen, Ma, Bernstein, PRC 187, 45 (1969)
 - ~1975: QCD as accepted theory of strong interactions
 - **1992-...:** Thermal fits to heavy-ion hadron yield data, mapping HIC to the QCD phase diagram Cleymans, Satz; Braun-Munzinger, Stachel; Rafelski; Redlich; Becattini;...
 - **2003-...:** Open-source implementations of the thermal model: SHARE (Rafelski+), THERMUS (Cleymans+), the Thermal-FIST package (V.V.)
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Изв. АН СССР, серия физ., 17, 51, 1953



Relativistic heavy-ion collisions: Thermal model



- Simplest model with very few free parameters (T, μ_B ,...)
- Connection to QCD phase diagram
- Easier to test new ideas

- No dynamics
- Describes only yields
- Thermal parameters fitted to data at each energy

HRG: Equation of state of hadronic matter as a multi-component noninteracting gas of known hadrons and resonances

$$\ln Z \approx \sum_{i \in M, B} \ln Z_i^{id} = \sum_{i \in M, B} \frac{d_i V}{2\pi^2} \int_0^\infty \pm p^2 dp \ln \left[1 \pm \exp\left(\frac{\mu_i - E_i}{T}\right) \right]$$

Grand-canonical ensemble: $\mu_i = b_i \mu_B + q_i \mu_Q + s_i \mu_S$ *chemical equilibrium*



Thermal model:

Equilibrated hadron resonance gas at the chemical freeze-out stage of high-energy collisions

Model parameters:

T – temperature

 $\mu_{B_{i}} \mu_{Q_{i}} \mu_{S}$ – chemical potentials V – system volume **Dashen, Ma, Bernstein (1969):** Inclusion of narrow resonances as free, point-like particles models attractive interactions where they are being formed [S-matrix formulation of statistical mechanics, PRC 187, 345 (1969)]



$$\ln Z^{\text{hrg}} = \sum_{i \in M, B} \frac{d_i V}{2\pi^2} \int_0^\infty \pm p^2 dp \ln \left[1 \pm \exp\left(\frac{\mu_i - E_i}{T}\right) \right]$$

Particle list in the thermal model usually includes all hadrons and resonances listed as established in the PDG listing

																Available from
p	$1/2^{+}$	****	$\Delta(1232)$	$3/2^{+}$	****	Σ^+	$1/2^{+}$	****	Ξ ⁰	$1/2^{+}$	****					
п	$1/2^{+}$	****	$\Delta(1600)$	3/2+	****	Σ^0	$1/2^{+}$	****	Ξ-	$1/2^{+}$	****					
N(1440)	$1/2^{+}$	****	$\Delta(1620)$	$1/2^{-}$	****	Σ^{-}	$1/2^{+}$	****	$\Xi(1530)$	$3/2^{+}$	****	+		((,,,,,,))		
N(1520)	$3/2^{-}$	****	$\Delta(1700)$	$3/2^{-}$	****	$\Sigma(1385)$	$3/2^{+}$	****	$\Xi(1620)$		*	• π^{\perp}	1 (0)	• $\phi(1680)$	$0^{-}(1^{-})$	• K -
N(1535)	$1/2^{-}$	****	$\Delta(1750)$	$1/2^{+}$	*	$\Sigma(1480)$		*	$\Xi(1690)$		***	• π^0	$1^{-}(0^{-+})$	• $\rho_3(1690)$	1+(3)	• K ⁰
N(1650)	$1/2^{-}$	****	$\Delta(1900)$	$1/2^{-}$	***	$\Sigma(1560)$		**	$\Xi(1820)$	$3/2^{-}$	***	• η	$0^+(0^{-+})$	• $\rho(1700)$	$1^+(1^{})$	$\bullet K_S^0$
N(1675)	$5/2^{-}$	****	$\Delta(1905)$	$5/2^{+}$	****	$\Sigma(1580)$	$3/2^{-}$	*	$\Xi(1950)$		***	• $f_0(500)$	$0^+(0^{++})$	$a_2(1700)$	$1^{-}(2^{++})$	$\bullet K_L^0$
N(1680)	5/2+	****	$\Delta(1910)$	$1/2^{+}$	****	$\Sigma(1620)$	$1/2^{-}$	*	$\Xi(2030)$	$\geq \frac{5}{2}$?	***	• <i>ρ</i> (770)	$1^+(1^{})$	• $f_0(1710)$	$0^+(0^{++})$	• $K_0^*(700)$
N(1700)	$3/2^{-}$	***	$\Delta(1920)$	$3/2^{+}$	***	$\Sigma(1660)$	$1/2^{+}$	***	$\Xi(2120)$	- 2	*	• ω(782)	$0^{-}(1^{-})$	$\eta(1760)$	$0^+(0^{-+})$	• K*(892)
N(1710)	$1/2^{+}$	****	$\Delta(1930)$	5/2-	***	$\Sigma(1670)$	$3/2^{-}$	****	$\Xi(2250)$		**	• η′(958)	$0^+(0^{-+})$	• $\pi(1800)$	$1^{-}(0^{-+})$	• K ₁ (1270
N(1720)	$3/2^{+}$	****	$\Delta(1940)$	$3/2^{-}$	**	$\Sigma(1690)$	1	**	$\Xi(2370)$		**	• <i>f</i> ₀ (980)	$0^+(0^{++})$	$f_2(1810)$	$0^+(2^{++})$	• K ₁ (1400
N(1860)	$5/2^{+}$	**	$\Delta(1950)$	$7/2^{+}$	****	$\Sigma(1730)$	$3/2^{+}$	*	$\Xi(2500)$		*	• <i>a</i> ₀ (980)	$1^{-}(0^{+})$	X(1835)	$?^{!}(0^{-+})$	• K*(141
N(1875)	$3/2^{-}$	***	$\Delta(2000)$	$5/2^+$	**	$\Sigma(1750)$	$1/2^{-}$	***	_()			• $\phi(1020)$	$0^{-}(1^{-})$	X(1840)	?!(?!!)	• K [*] ₀ (1430
N(1880)	$1/2^{+}$	***	$\Delta(2150)$	$1/2^{-}$	*	$\Sigma(1770)$	$1/2^{+}$	*	Ω^{-}	$3/2^{+}$	****	• $h_1(1170)$	$0^{-}(1^{+})$	• $\phi_3(1850)$	0-(3)	• K [*] ₂ (1430
N(1895)	$1/2^{-}$	****	$\Delta(2200)$	$7/2^{-}$	***	$\Sigma(1775)$	$5/2^{-}$	****	$\Omega(2250)^{-}$	- / -	***	 <i>b</i>₁(1235) 	$1^+(1^+)$	$\eta_2(1870)$	0+(2-+)	K(1460)
N(1900)	$3/2^+$	****	$\Delta(2300)$	$9/2^+$	**	$\Sigma(1840)$	$3/2^+$	*	$\Omega(2380)^{-}$		**	• $a_1(1260)$	$1^{-}(1^{++})$	 π₂(1880) 	$1^{-}(2^{-+})$	$K_2(1580)$
N(1990)	$7/2^+$	**	$\Delta(2350)$	$5/2^{-1}$	*	$\Sigma(1880)$	$1/2^+$	**	$\Omega(2470)^{-}$		**	• f ₂ (1270)	0+(2++)	ho(1900)	$1^+(1^{})$	K(1630

~400 species

Connecting model to experiment

$$N_i^{hrg} = \frac{d_i V}{2\pi^2} \int_0^\infty p^2 dp \left[\exp\left(\frac{E_i - \mu_i}{T}\right) \pm 1 \right]^{-1} \quad \propto \quad e^{-m_i/T}$$

Particle decays: Unstable resonances decay before being detected

$$\Delta \stackrel{\mathsf{N}}{\underset{\pi}{\leftarrow}} \overset{\mathsf{N}}{\underset{\pi}{\leftarrow}} \overset{\mathsf{K}}{\underset{\pi}{\leftarrow}} \overset{\mathsf{K}}{\underset{\pi}{\leftarrow}} \rho \stackrel{\mathsf{T}}{\underset{\pi}{\leftarrow}} \overset{\mathsf{etc.}}{\underset{\pi}{\leftarrow}}$$
etc.
Fake into account feeddown: $N_i^{\text{fin}} = N_i^{\text{hrg}} + \sum_j BR(j \to i) N_j^{\text{hrg}}$
60-70% of π , p, etc. are from feeddown

Conservation laws:

Zero net strangeness $\rightarrow \mu_S$ Electric-to-baryon ratio Q/B = 0.4-0.5 $\rightarrow \mu_Q$

Freeze-out parameters T, μ_B , V extracted through χ^2 minimization

$$\chi^{2} = \sum_{i} \frac{(N_{i}^{\text{fin}} - N_{i}^{\text{exp}})^{2}}{(\sigma_{i}^{\text{exp}})^{2}}, \quad i = \pi, K, p, \Lambda, \dots$$

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Thermal fits at SPS and RHIC energies



- Fair data description across several orders of magnitude
- Evidence for chemical equilibration of matter

Thermal fits at LHC



Heavy-ion collisions and the QCD phase diagram

Thermal fits for systems at different collision energies map chemical freeze-out stage in heavy-ion collisions to the QCD phase diagram



HRG model and lattice QCD equation of state



[HotQCD collaboration, 1407.6387; similar results from Wuppertal-Budapest collab., 1309.5258]

HRG model and lattice QCD equation of state



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HRG describes quite well LQCD thermodynamic functions below and in the vicinity of the pseudocritical temperature

Thermal model and radial flow

$$N_i^{\rm hrg} = V \frac{d_i}{2\pi^2} \int_0^\infty p^2 dp \left[\exp\left(\frac{E_i - \mu_i}{T}\right) \pm 1 \right]^{-1}$$

In thermal model yields are computed in **local rest frame**, i.e. no flow But matter in HIC appears to have a substantial collective flow, so how can the model be applied to data?

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Hydro:
$$N_i = \int_{\sigma} d\sigma_{\mu} u^{\mu} \underbrace{\int \frac{d^3 p_i}{p^0} p_{\mu} u^{\mu} \frac{d_i}{(2\pi)^3} \left[\exp\left(\frac{p_i^{\mu} u_{\mu} - \mu_i}{T}\right) \pm 1 \right]^{-1}}_{n_i^{\text{hrg}}}$$

"Freeze-out" across space-time hypersurface $\sigma(x)$ with collective velocity profile $u^{\mu}(x)$. If T and μ_i uniform across the hypersurface then

$$N_i = n_i^{\text{hrg}} \underbrace{\int_{\sigma} d\sigma_{\mu} u^{\mu}}_{V_{\text{eff}}}$$
 and $\frac{\mathbf{N_i}}{\mathbf{N_j}} = \frac{\mathbf{N_i^{\text{hrg}}}}{\mathbf{N_j^{\text{hrg}}}}$

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$$N_{i} = n_{i}^{\text{hrg}} \underbrace{\int_{\sigma} d\sigma_{\mu} u^{\mu}}_{V_{\text{eff}}} \quad \text{and} \quad \frac{N_{i}}{N_{j}} = \frac{N_{i}^{\text{hrg}}}{N_{j}^{\text{hrg}}}$$

Effects of collective motion largely cancel out in yield ratios

Many aspects of the thermal model

- particle list and decay properties
- finite resonance widths
- loosely bound states
- chemical non-equilibrium (γ_a , γ_s)
- excluded volume/van der Waals interactions
- exact conservation of conserved charges (canonical ensemble)
- particle number fluctuations
- statistical hadronization of charm

Different particle lists

- Established (*** & ****) hadrons from PDG (the standard option)
- Include unconfirmed (* & **) or theoretical (quark model) states



[Alba et al., 1702.01113; see also 1404.6511 (HotQCD)]

Evidence for extra strange baryons from lattice QCD

• Exponential Hagedorn mass spectrum $\rho(m) = A m^{-\alpha} \exp(m/T_H)$ New phenomena: "limiting" temperature, (phase) transition to QGP etc. [Gallmeister et al., 1712.04018; V.V. et al., 1811.05737] Decay properties of many resonances are not very well established This affects determination of feeddown contributions

K1(1400) DECAY MODES	Fraction (Γ_i/Γ)	N(1650) DECAY MODES	Fraction (Γ_i/Γ)
Κ*(892) π	(94 ±6)%	Νπ	50-70 %
Κρ	(3.0±3.0) %	Nη	15-35 %
K f ₀ (1370)	(2.0±2.0) %	ΛΚ	5-15 %
$\kappa\omega$	$(1.0\pm1.0)\%$	$N\pi\pi$	8-36 %

PDG

2016 PARTICLE PHYSICS BOOKLET Decay properties of many resonances are not very well established This affects determination of feeddown contributions

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E(1690) DECAY MODES	Fraction (Γ_i/Γ)	E(1820) DECAY MODES	Fraction (Γ_i/Γ)
Λ Κ	seen	ΛK	large
$\Sigma \overline{K}$	seen	$\Sigma \overline{K}$	small
$\equiv \pi$	seen	$\equiv \pi$	small
$\Xi^{-}\pi^{+}\pi^{-}$	possibly seen	$\Xi(1530)\pi$	small
	1	hat's not very helpful	

"Educated" guesses sometimes needed to calculate feeddown

Source of a systematic uncertainty ~10%

PDG

PARTICLE PHYSICS BOOKLET

$$n_i(T,\mu;m_i) \to \int_{m_i^{\min}}^{m_i^{\max}} dm \,\rho_i(m) \,n_i(T,\mu;m) \int_{\mathbb{R}_4^m}^{\mathbb{R}_4^m} dm \,\rho_i(m) \,n_i(m) \,n$$

1) Zero-width approximation

Simplest possibility, used commonly in LQCD comparisons

2) Constant Breit-Wigner (BW) in $\pm 2\Gamma_i$ interval

Popular choice in thermal fits Enhances resonance yields

3) Energy-dependent Breit-Wigner (eBW)

$$\Gamma_{i \to j}(m) = b_{i \to j} \Gamma_i \left[1 - \left(\frac{m_{i \to j}^{\text{thr}}}{m} \right)^2 \right]^{l_{ij}+1/2}$$

suppression at the threshold

Suppresses resonance yields

4) Phase shifts within the S-matrix approach $\rho_i(m) \propto \frac{\partial \delta(m)}{\partial m}$ Usually based on measured scattering phase shifts



 $\rho_i(m) = A_i \frac{2 m m_i \Gamma_i}{(m^2 - m_i^2)^2 + m_i^2 \Gamma_i^2}$

Finite resonance widths: effect on thermal fits



Energy-dependent Breit-Wigner leads to a 15% suppression of proton yields

This is enough to describe the 'proton yield anomaly' at the LHC

[V.V., Gorenstein, Stoecker, 1807.02079; see also phase shift analysis P. Lo et al., 1808.03102] 18/30

⁴He

Thermal model and loosely-bound states

Yields of light nuclei at LHC decrease exponentially with mass



Thermal model and loosely-bound states



Thermal model and loosely-bound states



Loosely-bound states (few MeV or less binding energy) expected to be immediately destroyed at T = 155 MeV. Why the thermal model works so well for the yields of light nuclei remains not fully understood 19

Incomplete chemical equilibrium of strangeness

A reasonable description of strangeness production often requires introduction of strangeness saturation parameter γ_S , which in thermal picture interpreted as an incomplete equilibration of strangeness



Chemical non-equilibrium scenario

In chemical non-equilibrium scenario $N_i^{\text{hrg}} \rightarrow (\gamma_q)^{|q_i|} (\gamma_s)^{|s_i|} N_i^{\text{hrg}}$ both light ($|q_i|$) and strange ($|s_i|$) quarks out of chemical equilibrium

Scenario: hadronization of chem. non-eq. supercooled QGP [Letessier, Rafelski, '99]



• smaller reduced χ^2 compared to chem. equilibrium scenario

- $\gamma_q = 1.63 \Rightarrow \mu_\pi \approx 135 \ MeV \approx m_\pi \Rightarrow \text{ pion BEC?}$ [V. Begun et al., 1503.04040]
- However, $\gamma_q \approx \gamma_s \approx 1$ when light nuclei included in fit [M. Floris, 1408.6403]

Notion that hadrons have finite eigenvolume suggested awhile ago

[R. Hagedorn, J. Rafelski, PLB '80]

Excluded volume model: $V \rightarrow V - bN \Rightarrow p(T, \mu) = p^{id}(T, \mu - bp)$ [D. Rischke et al., Z. Phys. C '91]

Excluded

Recent lattice QCD data favor EV-like effects in baryonic interactions



Evidence for EV effects for mesons is less compelling

Excluded volume corrections and thermal fits

Excluded volume effect: $N_i \rightarrow \kappa e^{-\frac{v_i \rho}{T}} N_i$

This may have an effect on data description if v_i are different



Depending on v_i parameterization effects on fits are between being negligible to strong and controversial (χ^2 minima at very high T) 23/30

van der Waals interactions in HRG



Canonical statistical model

Grand-canonical ensemble: configurations with all possible quantum numbers

$$Z^{\text{gce}}(\mu_B, \mu_Q, \mu_S) = \sum_{B=-\infty}^{\infty} \sum_{Q=-\infty}^{\infty} \sum_{S=-\infty}^{\infty} e^{\frac{B\mu_B + Q\mu_Q + S\mu_S}{T}} Z^{\text{ce}}(B, Q, S)$$

including those not realized in heavy-ion collisions, e.g. $S \neq 0$

Thermodynamic equivalence of ensembles: $N_i^{\text{gce}} = N_i^{\text{ce}} + O(V^{-1})$

GCE justified for large systems, but canonical effects needed for smaller systems [Rafelski, Danos, et al., PLB '80; Hagedorn, Redlich, ZPC '85]

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Canonical partition function:

$$\mathcal{Z}(B,Q,S) = \int_{-\pi}^{\pi} \frac{d\phi_B}{2\pi} \int_{-\pi}^{\pi} \frac{d\phi_Q}{2\pi} \int_{-\pi}^{\pi} \frac{d\phi_S}{2\pi} e^{-i(B\phi_B + Q\phi_Q + S\phi_S)} \exp\left[\sum_j z_j^1 e^{i(B_j\phi_B + Q_j\phi_Q + S_j\phi_S)}\right]$$
[Becattini et al., ZPC '95, ZPC '97]

$$z_j^1 = V_c \int dm \,\rho_j(m) \,d_j \frac{m^2 T}{2\pi^2} \,\mathcal{K}_2(m/T) \qquad \langle N_j^{\text{prim}} \rangle^{\text{ce}} = \frac{Z(B - B_j, Q - Q_j, S - S_j)}{Z(B, Q, S)} \,\langle N_j^{\text{prim}} \rangle^{\text{gce}}$$

CE effects typically suppress yields relative to the GCE (canonical suppression) Strangeness enhancement as a manifestation of an absence of CE suppression [Hamieh, Redlich, Tounsi, PLB (2000)] 25/30

Canonical statistical model and thermal fits

Canonical thermodynamics allows to use thermal model for small systems such as p-p, p- \overline{p} , e^+e^-



[F. Becattini et al., ZPC '95, ZPC '97]

Available thermal model codes:

- 1) SHARE 3 [G. Torrieri, J. Rafelski, M. Petran, et al.] Since 2003 Fortran/C++. Chemical (non-)equilibrium, fluctuations, charm, nuclei open source: http://www.physics.arizona.edu/~gtshare/SHARE/share.html
- 2) THERMUS 4 [S. Wheaton, J. Cleymans, B. Hippolyte, et al.] Since 2004 C++/ROOT. Canonical ensemble, EV corrections, charm, nuclei
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New development:



Thermal-FIST v1.1 (or simply "The FIST")[V.V., H. Stoecker]C++. Chemical (non-)equilibrium, EV/vdW corrections, Monte Carlo,
(higher-order) fluctuations, canonical ensemble, combinations of effectsopen source: https://github.com/vlvovch/Thermal-FISTSince 2018physics manual: arXiv:1901.05249

Thermal-FIST



Graphical user interface for general-purpose thermal fits and more



Thermal-FIST



Graphical user interface for general-purpose thermal fits and more



"So that's how you get your results so quickly!" J. Cleymans

"Thanks for reproducing my results!"

F. Becattini



The package is cross-platform (Linux, Mac, Windows, Android) Installation using git and cmake

```
# Clone the repository from GitHub
git clone https://github.com/vlvovch/Thermal-FIST.git
cd Thermal-FIST
# Create a build directory, configure the project with cmake
# and build with make
mkdir build
cd build
cmake ../
make
# Run the GUI frontend
./bin/QtThermalFIST
# Run the test calculations from the paper
./bin/examples/cpc1HRGTDep
./bin/examples/cpc2chi2
./bin/examples/cpc3chi2NEQ
./bin/examples/cpc4mcHRG
```

GUI requires free Qt5 framework, the rest of the package has no external dependencies

Quick start guide

Documentation

Physics manual 29/30

Summary

- The statistical thermal model is the "simplest" model for particle production, which describes yields across many collision energies on a 10-15% level
- The model has many ambiguous details sources of systematic uncertainty in the model currently under investigation
- Model applications available through a number of open source codes. New Thermal-FIST package provides most of the features used in thermal model analysis in a convenient way.

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Thanks for your attention!